

Mail completed application to:
 VDACS
 Office of Charitable &
 Regulatory Programs
 Post Office Box 526
 Richmond, VA 23218



FORM 501
 VDACS FINANCE CODE
 992-02199

**COMMONWEALTH OF VIRGINIA
 DEPARTMENT OF AGRICULTURE AND CONSUMER SERVICES
 OFFICE OF CHARITABLE & REGULATORY PROGRAMS
 FANTASY CONTEST OPERATOR REGISTRATION APPLICATION**

GENERAL INSTRUCTIONS

- A. Use this application to register as a Fantasy Contest Operator.
- B. Complete this application in its entirety. If a response field or question is not applicable, please indicate "N/A".
- C. Please print legibly in black ink or type all responses.
- D. If necessary, please attach relevant documents and/or explanation sheets. In doing so, please identify the corresponding question on each document/sheet.
- E. Ensure the application is dated and signed by an authorized individual of the operator.
- F. Enclose \$50,000 application fee payable to: **Treasurer of Virginia**.
- G. You must submit this completed application, application fee, and all relevant documents and/or explanation sheets to the mailing address above.

**SECTION 1
 OPERATOR INFORMATION**

Full Corporate Name of Entity			
Doing Business As/Trading As Name			
Physical Address			
City	State	Zip Code	Country
Telephone Number, including area code		Fax Number, including area code	
Website Address			
Mailing Address (if different from physical address)			
City	State	Zip Code	Country
Date Entity Established		Place Entity Established	
Entity's Fiscal Year			
Was a financial audit completed for or during your company's last fiscal year?		<input type="checkbox"/> Yes - audit included <input type="checkbox"/> Incomplete - audit will be sent within 30 days of completion	

SECTION 2

PRIMARY CONTACT INFORMATION

The primary contact will be point of contact for the Virginia Department of Agriculture and Consumer Services on all matters pertaining to the Fantasy Contest Operator.

Primary Contact Person		Title	
Physical Address			
City	State	Zip Code	Country
Telephone Number, including area code		Email Address	

SECTION 3

FEDERAL & STATE REGISTRATION INFORMATION

3-1. Type of Operator's Business Entity (check one) <input type="checkbox"/> Stock Corporation <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Holding Company <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Nonstock Corporation <input type="checkbox"/> Other (please specify) _____	
3-2. If the operator is a stock corporation, then is such stock fully paid and nonassessable and has been subscribed and paid for only in cash or property to the exclusion of past services?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3-3. If the operator is a nonstock corporation, please attach a copy of the names of the members of the nonstock corporation. The nonstock corporation must have at least five members.	<input type="checkbox"/> Yes - attachment included <input type="checkbox"/> No
3-4. Federal Employer Identification Number	
3-5. If the operator is a foreign corporation or not incorporated or organized under Virginia law, then is it registered with the Virginia State Corporation Commission indicating its qualification to do business in Virginia?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3-6. Please attach the Articles of Incorporation and bylaws of the operator.	<input type="checkbox"/> Yes - attachment included
3-7. Is the operator in 'good standing' with the state of its incorporation or current operation? If <u>yes</u> , please attach a copy of the certificate of good standing. If <u>no</u> , please attach an explanation sheet detailing the reason.	<input type="checkbox"/> Yes - attachment included <input type="checkbox"/> No - explanation sheet included <input type="checkbox"/> N/A
3-8. Please provide the assigned account numbers issued by Virginia Department of Taxation. <u>If the operator does not have an assigned account number assigned to your company by the Virginia Department of Taxation, please attach an explanation sheet detailing the reason.</u>	Corporate Identification Number
3-9. Identify the operator's designated agent in Virginia: *If no such agent is designated, the operator shall be deemed to have designated the Commissioner of the Virginia Department of Agriculture and Consumer Services.	
Name of Registered Agent	
Mailing Address	
City	State
Zip Code	
Telephone Number, including area code	Fax Number, including area code

**SECTION 4
BUSINESS/BANK REFERENCES**

Please provide three (3) current business references, plus at least one (1) current bank reference with which the operator has regularly done business.

4-1. Full Corporate Name

Physical Address

City

State

Zip Code

Country

Primary Contact Person

Title

Telephone Number, including area code

Email Address

4-2. Full Corporate Name

Physical Address

City

State

Zip Code

Country

Primary Contact Person

Title

Telephone Number, including area code

Email Address

4-3. Full Corporate Name

Physical Address

City

State

Zip Code

Country

Primary Contact Person

Title

Telephone Number, including area code

Email Address

4-4. Full Corporate Name

Physical Address

City

State

Zip Code

Country

Primary Contact Person

Title

Telephone Number, including area code

Email Address

**SECTION 5
BUSINESS INFORMATION**

5-1. Please attach a list of all physical locations that are owned or leased by the operator and from which the operator conducts business. For each location, please include the full corporate/subsidiary name, physical address, city, state, zip code, country and a detailed explanation of what business is conducted at each of these locations.

Attachment included
 N/A

5-2. Where are the business and financial records maintained?		
Physical Address		
City	State	Zip Code
Physical Address		
City	State	Zip Code
5-3. Please provide all aliases/business names used by the operator to conduct business, provide time periods during which the aliases/business names were used by the operator and if applicable, the state of incorporation.		
Name	Time Period (month, year)	State of Incorporation
Name	Time Period (month, year)	State of Incorporation
Name	Time Period (month, year)	State of Incorporation
5-4. In the past ten years, has the operator been party to any bankruptcy, receivership or similar proceeding affecting its business? If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning this matter.	<input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No	
5-5. In the past ten years, has the operator been party to any material acquisition, reorganization, merger, consolidation, readjustment or succession of its business? If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning this matter.	<input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No	
5-6. Please attach a signed copy of the 'Authority to Release Information Form,' which is located at the end of this application.	<input type="checkbox"/> Attachment included	
5-7. At the time of this application, are the operator's systems in compliance with §59.1-557 (D) of the Code of Virginia? If <u>no</u>, please attach an explanation sheet detailing the facts and circumstances concerning this matter. Attach a copy of the operator's annual report from a testing laboratory recognized by the Department. If annual report is not yet available, it must be submitted to the Department within 30 days of its completion.	<input type="checkbox"/> Yes <input type="checkbox"/> No - explanation sheet included and <input type="checkbox"/> Attachment included <input type="checkbox"/> Incomplete - report will be sent within 30 days of completion	
5-8. In the last twelve months, has the operator detected any instances of non-compliance with §59.1-557 (D) of the Code of Virginia? If yes, please attach an explanation sheet detailing the facts and circumstances concerning this matter.	<input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No	
SECTION 6 PERSONNEL INFORMATION		
6-1. Please attach a current organizational chart for the operator including the name and position of each officer, director, trustee, and principal salaried executive staff officer.	<input type="checkbox"/> Attachment included	

<p>6-2. Is the operator, or any individual or entity identified in either question 6-1 or 6-2 are:</p> <ol style="list-style-type: none"> 1. Currently or have been arrested, detained, charged, indicted, convicted, pleaded guilty or <i>nolo contendere</i>, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony, or misdemeanor involving fantasy contest operation , financial crime, crime of moral turpitude, or any criminal offense involving dishonesty or breach of trust? 2. Currently or have been delinquent or in dispute with a government agency over the payment of any debt or tax within the past ten years? 3. Currently or have been party to any lawsuit related to the operation of a fantasy contest? 4. Currently or had a fantasy contest related license, permit, or registration denied, limited, restricted, not renewed, revoked, suspended, or subject to an administrative proceeding? If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning the matter, including the name of the licensing authority, the date of each action taken and the reason for the action. <p>If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning any of the above matters.</p>	<p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p>
<p>6-3. Attach a completed Personal Information Form for each of the individuals indicated below who are involved with the operator.</p> <p>The operator must provide information on each principal stockholder or member having a 15% or greater financial interest (debt or equity), officer, partner, director, trustee, and principal salaried executive staff officer.</p>	<p><input type="checkbox"/> Attachment included</p>

**SECTION 7
LICENSE, PERMIT OR REGISTRATION INFORMATION**

<p>7-1. Does the operator possess a fantasy contest license, permit, or registration issued by any other state or licensing authority? If <u>yes</u>, please attach a list including the type of license, the state or licensing authority, the license number, and the name and telephone number of the appropriate contact person at the issuing authority.</p>	<p><input type="checkbox"/> Yes - attachment included <input type="checkbox"/> No</p>
<p>7-2. Has the operator ever had a fantasy contest license, permit, or registration denied, limited, restricted, not renewed, revoked, suspended, or subject to an administrative proceeding? If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning the matter, including the name of the licensing authority, the date of each action taken and the reason for the action.</p>	<p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p>
<p>7-3. Has the operator ever been delinquent in the payment of any debt or tax owed to a government agency within the past ten years? If <u>yes</u>, please attach an explanation sheet detailing the facts and circumstances concerning the matter.</p>	<p><input type="checkbox"/> Yes - explanation sheet included <input type="checkbox"/> No</p>

DISCLAIMERS AND AFFIDAVITS

By completing this section and affixing my signature, I hereby state that I am authorized to sign this application on behalf of the operator, and, to the best of my knowledge, information and belief, there has been no misrepresentation or failure to disclose. I am aware that later discovery of an omission or misrepresentation made in this application, or made on any statement, document, or information may be grounds for denial of the operator's application or revocation of the operator's registration, or subject the operator or personnel to criminal penalties in the Commonwealth of Virginia.

I agree that I will notify the Office of Charitable and Regulatory Programs of any circumstance that necessitates amending any response provided in this application, including, but not limited to, any changes in the operator's officers, partners, directors, partners, principals, investors or others who would be required to provide information under question 6-3 of this application.

I agree that I will abide by the laws governing fantasy contests in the Commonwealth of Virginia.

Signature	Date
Print Name	Title

AUTHORITY TO RELEASE INFORMATION FORM

I, _____ authorize and grant my consent to permit any law enforcement agency, and any other person, business or agency deemed necessary, to release any information requested by any identified official from the Virginia Department of Agriculture and Consumer Services.

This information is for the express purpose of determining my eligibility to register as a Fantasy Contest Operator as defined under the authority of the Virginia Fantasy Contests Act.

Full Corporate Name of Entity

Doing Business As/Trading As Name

Signature

Title

Date

NOTARY STATEMENT

Sworn and subscribed before me this _____ day of _____, 20____ in the (county / city)
_____ in the state of _____.

Notary's Signature

Notary's Printed Name

Notary's Commission Number

Notary's Commission Expiration Date