Applying For a Grant of Inspection

Conditions for Receiving Inspection

A Web page link to the FSIS regulations 9 CFR 300 to 592 is provided here [Code of Federal Regulations (Annual Edition) | govinfo]. Not all of these regulations are required to be met by every establishment, only the ones applicable to the types of operations that will be conducted. Refer to 9 CFR 304.1 to 304.3 for red meat establishments and 9 CFR 381.1 to 381.7 for poultry establishments.

1. Before being granted State inspection, an establishment shall have developed written Sanitation Standard Operating Procedures (SSOPs), as required by 9 CFR 416.12. SSOPs should be specific for each facility operating under a grant of inspection.

2. Before being granted State inspection, an establishment shall have conducted a hazard analysis, developed, and validated a HACCP plan as required by Part 417.2 and 417.4 of this chapter.

Separation of Official Establishments

Each official establishment shall be separate* and distinct from any unofficial establishment (e.g., FDA regulated product, custom or retail exempt product). Inspection will not be granted in any building in which any part of it is used as living quarters, unless the part for which inspection is requested is separated from such quarters by floors, walls, and ceilings of solid concrete, brick, wood, or similar material, and the floors, walls, and ceilings are without openings that communicate directly or indirectly with any part of a building used as living quarters.

*Separation may be accomplished by time or space.

Refer to 9 CFR Parts 305.1, 305.2, 381.26, 381.27 and 590.146.

Inauguration of Inspection

Prior to the inauguration of inspection, when the owner or designee believes they have met the necessary requirements (e.g., developed a written Sanitation SOP, conducted a hazard analysis and HACCP plan, prepared labels, and facility), to start operations they will notify their contact person. Upon notification to your assigned contact Regional Supervisor (RS), the Inspection Manager (IM) or designee will schedule a date and time
to conduct an onsite review of the establishment and documents by inspection personnel. If all items meet regulatory requirements, a “Conditional Grant of Inspection” will be issued. During a period not to exceed 90 days, which new product can be produced for distribution in commerce, the establishment shall validate its HACCP plan adequacy in controlling the food safety hazards identified during the hazard analysis and shall verify that the plan is being effectively implemented in accordance with 9 CFR 417.4.

Refer to 9 CFR Parts 304.3, 305.4, 381.26, and 381.27.

OMPS Inspection Office

In establishments that require one or more full time inspector, office space shall be provided by official establishments, rent free, for the exclusive use for official purposes of the inspector and other Program employees assigned thereto. The space set aside for this purpose shall meet with approval of the RS and shall be conveniently located, properly ventilated, and heated. Janitorial services for the office are to be provided by the establishment.

At the discretion of the Inspection Manager, establishments that do not require the services of a full-time inspector need not furnish facilities for OMPS employees as prescribed in this section, where adequate facilities exist in a nearby convenient location. However, the establishment shall provide a lockable storage cabinet to secure OMPS paperwork and supplies pertaining to that establishment, and a workspace while the inspector is in the establishment.


Official Hours of Operation

1. No operations requiring inspection shall be conducted except under the supervision of a Program employee. In establishments that conduct only further processing operations, the assigned inspector may not always be on site, but the operations are still considered “under the supervision of a Program employee”.

2. A shift is a regularly scheduled operating period, exclusive of mealtime. One lunch period is the only official authorized interruption in the inspector's tour of duty once it begins. Lunch periods may be 30 minutes, 45 minutes, or in any case may not exceed one hour in duration. Once established, the lunch period must remain relatively constant as to time and duration. Lunch periods for inspectors shall not, except as provided herein, occur prior to 4 hours after the beginning of scheduled operations nor later than 5 hours after operations begin.
3. Official establishments shall be provided 5 consecutive 8-hour days (per shift) of free inspection service during the basic work week of Sunday through Saturday (e.g., Sunday through Thursday, Monday through Friday), excluding the lunch period.

4. Each official establishment shall submit a work schedule to the IM for approval. In consideration of whether the approval of an establishment’s work schedule shall be given, the IM shall take into account the efficient and effective use of inspectors. The work schedule must specify daily clock hours of operation and lunch periods for all departments of the establishment requiring inspection.

5. Establishments shall maintain consistent work schedules. Any request by an establishment for a change in its work schedule involving an addition or elimination of shifts shall be submitted to the IM at least 2 weeks in advance of the proposed change. Request for inspection service outside an approved work schedule shall be made as early in the day as possible for overtime work to be performed within that same workday; or made prior to the end of the day's operation when such a request will result in overtime service at the start of the following day. This request should be made directly to the assigned inspector. If the inspector is unavailable, the request should be made to the Regional Supervisor.

Refer to 9 CFR 307.4 and 381.37.

**Inspection Charges**

As noted above, inspection service is provided free of charge for the first 8 hours per shift. Any work conducted for more than 8 hours on any scheduled workday, or more than 40 hours in any administrative work week, shall reimburse the Program employee. If the operator of the establishment requests inspection during a designated Federal/State holiday, or outside the official core 8 hours, reimbursable charges apply for the inspection services provided at tenth of an hour increments. Billing will be for each tenth of an hour of service rendered by each Program employee. Establishments requesting and receiving the services of a Program employee after they have completed their day's assignment and left the premises or called back to duty during any overtime or holiday period, shall be billed for a minimum of 2 hours overtime or holiday inspection service at the established rate. Bills are payable upon receipt and become delinquent 30 days from the date of the bill. Overtime or holiday inspection will not be performed for any establishment having a delinquent account.

Refer to 9 CFR Parts 307.5, 307.6, 381.38, and 381.39.
OMPS Inspector Hours of Duty

The maximum time an on-line slaughter inspector may be assigned daily to a postmortem inspection position is 10 hours per day, and an off-line (e.g., further processing) inspector shall not be scheduled to more than a total of 12 hours per day. The 10-hour postmortem time, does not include time spent before and after slaughter operations, conducting ante-mortem, sanitation, and offal inspection; supervising disposal of condemned material, and preparing reports. Processing assignments shall not be more than 12 hours per day. Time used for meals is not included in counting the above hours. Lunch periods shall not be less than 30 minutes nor more than one hour. Lunch periods shall begin between the fourth and fifth hour of an inspector's tour of duty.

Refer to 9 CFR 307.4, and 381.37.

Refusal to Grant Inspection

The OMPS Program Manager may refuse to grant State inspection because an applicant:

1. Does not have a written HACCP system as required by part 417 of this chapter.

2. Does not have written Sanitation SOPs as required by part 416 of this chapter.

3. Has not demonstrated that adequate sanitary conditions exist in the establishment as required by part 308, part 381, subpart H and I, and part 416 of this chapter.

4. Has not demonstrated that livestock will be handled and slaughtered humanely.

5. Is unfit to engage in any business requiring inspection as specified in section 401 of the FMIA or section 18(a) of the PPIA.

6. If the PM refuses to grant inspection, the applicant will be provided the opportunity for a hearing in accordance with the Rules of Practice, 9 CFR 500.7 (b).

For item (d) above, refer to 9 CFR 313.1 to 313.50 regarding humane handling of animals while on the inspected premises.

Refer to 9 CFR 304.2, and 381.21.
Withdrawal of Inspection

The OMPS Program Manager may file a complaint to withdraw a grant of State inspection in accordance with the Uniform Rules of Practice, 7 CFR Subtitle A, part 1, subpart H because:

1. An establishment produced and shipped adulterated product.

2. An establishment did not have or maintain a HACCP plan in accordance with part 417 of this chapter.

3. An establishment did not have or maintain Sanitation SOPs in accordance with part 416 of this chapter.

4. An establishment did not maintain sanitary conditions.

5. An establishment did not collect and analyze samples for Escherichia coli Biotype I and record results as prescribed in 310.25(a) or 381.94(a) of this chapter.

6. An establishment did not comply with the Salmonella performance standard requirements as prescribed in 310.25(b) and 381.94(b) of this chapter.

7. An establishment did not slaughter or handle livestock humanely.

8. An establishment operator, officer, employee, or agent assaulted, threatened to assault, intimidated, or interfered with an OMPS program employee.

9. A recipient of inspection or anyone responsibly connected to the recipient is unfit to engage in any business requiring inspection as specified in section 401 of the FMIA, section 18(a) of the PPIA, or section 18 of the EPIA.

The “Rules of Practice” are listed in 9 CFR 500.1 to 500.8

Sewage Disposal System

Sewage must be disposed into a sewage system separate from all other drainage lines or disposed of through other means sufficient to prevent backup of sewage into areas where product is processed, handled, or stored. When the sewage disposal system is a private system requiring approval by a State or local health authority, the establishment must furnish OMPS with a letter of approval from that authority upon request. Attachment 1 has an example of an approval letter.
Obtain certification of the water potability from the local or state health agency that has jurisdiction. If the water entering an establishment is supplied by a Municipal water supply system (i.e., city, county, or other public water system) the Municipality, or the State Public Health Service or its county office issues the letter. If the water is from a private water supply (such as a private well), the State Public Health Service or the appropriate county office must issue the letter. The letter should identify the source, state that the source is approved, and that the water is potable and meets tests prescribed by the Environmental Protection Agency in its “Drinking Water Standards.” In addition to the water approval letter, a current acceptable water laboratory sample report (water potability certification) must be on file before inspection is granted. Attachment 1 has an example of an approval letter.

NOTE: If the water is supplied from private wells, the letter must state that the wells are on the premises of the establishment and are effectively protected from pollution.

Refer to 9 CFR 416.2 (f).

Obtaining and Use of Approved Labels

After an application for inspection request for official inspection has been received by the PM, an official plant number will be reserved for that applicant. This number is used to identify all inspected and passed products prepared in the establishment. All carcasses from slaughtered animals must be ink-branded with the V.A. Inspection legend, which includes the plant number. All packaged meat and poultry products must have the V.A. Inspection legend, with the plant’s number printed on the label of the package.

The OMPS requires the submission of labeling applications for the following:

1. Products which are produced under a religious exemption.

2. Special statements and claims. “Special statements and claims” are claims, logos, trademarks, and other symbols on labels that are not defined in the Federal meat and poultry products inspection regulations or the Food Standards and Labeling Policy Book, (except for “natural” and negative claims (e.g., “gluten free”), health claims, ingredient and processing method claims (e.g., high-pressure processing), structure-function claims, claims regarding the raising of animals, organic claims, and instructional or disclaimer statements concerning pathogens (e.g., “for cooking only” or “not tested for E. coli O157:H7”). Examples of logos and symbols include graphic representations of hearts and geographic landmarks. Special statements and claims do not include allergen statements.
(e.g., “contains soy”) applied in accordance with the Food Allergen Labeling and Consumer Protection Act.

3. Requests for the temporary use of final labels.

Additionally, if a label qualifies for generic approval in accordance with 9 CFR 317.5 or 381.133, such labeling does not need to be submitted for approval. The official establishment must maintain a copy of all labeling applications and labels used, along with the product formulation and processing procedure, in accordance with part 320 of this subchapter. Such records shall be made available to an OMPS program employee upon request.

Refer to 9 CFR Parts 312, 316, 317, 381.96 thru 381.144, and 412.

See - Food Standards and Labeling Policy Book

Labeling applications and questions may be addressed to;

OMPS Program Analyst
34591 General Mahone Blvd.
Ivor, VA 23866
540.616.4318
Jackie.teter@vdacs.virginia.gov

Application for State Inspection

Before inspection is granted, each person shall submit an application and plot plan to the Program Manager (PM). Every application shall be made on an official form provided by a program employee. The application form is titled “Application for State Meat and Poultry Inspection” form number VDACS-03090. Make sure all blocks are completed. If something does not apply, indicate so by placing “N/A” or “None” in that block. The application should be submitted to:

VDACS/OMPS
P.O. Box 1163
Richmond, VA 23218

To receive an application, call 804.786.4569 or visit https://www.vdacs.virginia.gov/pdf/application.pdf
Plot Plans

Plot plans of the entire premises showing the location of all buildings, railroad sidings, roadways and alleys adjoining the plant, streams, buildings existing on adjoining property, their height and use should be indicated. The character and surfacing of roadways, driveways, streets, and paving of vehicular loading area and alleys should be indicated. The north point of the compass is to be shown. Plot plans do not need to be to scale and can be hand drawn. The part of the facility to be granted inspection should be identified. This is important since facilities may have more than one business in operation. Compartments or rooms shared with other business or operations not under the grant of inspection would have to comply with the regulatory requirements.

For all other questions please contact:
OMPS Program Manager at 804.786.4569

Additional resources

Grant of Inspection – Establishment Sanitation (usda.gov)
Sanitation Performance Standards Compliance Guide | Food Safety and Inspection Service (usda.gov)
Applying For a Grant of Inspection - Hazard Analysis and Critical Control Points (HACCP) (usda.gov)
FSIS Compliance Guideline HACCP Systems Validation - April 2015 (usda.gov)
How to Develop a Meat and Poultry Product Recall Plan (usda.gov)
Small & Very Small Plant Guidance | Food Safety and Inspection Service (usda.gov)
USDA Inspection in Shared Spaces, including Commercial Kitchens
SAMPLE LETTER FOR APPROVED MUNICIPAL WATER SUPPLY

To: Inspector in Charge XYZ Meat Packers, Inc. 1001 Main Street Anytown, Virginia 2XXXXX

Dear Sir:

I certify that XYZ Meat Packers, Inc., located at 1001 Main Street, Anytown, Virginia, is supplied water from the City of Anytown Municipal Water Co., which is approved by the Virginia Department of Health. This water is potable, and meets tests prescribed by the Environmental Protection Agency in its “Drinking Water Standards”.

Attached please find a current water potability certification and laboratory sample report from the Animal Health Regional Laboratory, Anywhere, Virginia.

Sincerely,

Mr. A. B. Clean
State Environmental Specialist

SAMPLE LETTER FOR APPROVED SEWAGE SYSTEM

To: Inspector in Charge XYZ Meat Packers, Inc. 1001 Main Street Anytown, Virginia 2XXXXX

Dear Sir:

I certify that XYZ Meat Packers, Inc., located at 1001 Main Street, Anytown, Virginia, is connected to the City of Anytown Municipal Sewage System. I have inspected the plant disposal system and have found them to be acceptable to this department.

Sincerely,

Mr. A. B. Clean
State Environmental Specialist